# Mitigating System and Statistical Heterogeneity in Federated Learning



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#### Abstract

Federated learning is a distributed learning paradigm that involves remote devices or siloed edge servers to learn a collaborative model by keeping data private. Training in a heterogeneous environment and a potentially huge network introduces unique challenges from large-scale distributed learning and optimization. In this project, we explore different characteristics and challenges (system and statistical heterogeneity) of federated learning and provide unique solutions to mitigate those challenges.

#### Introduction



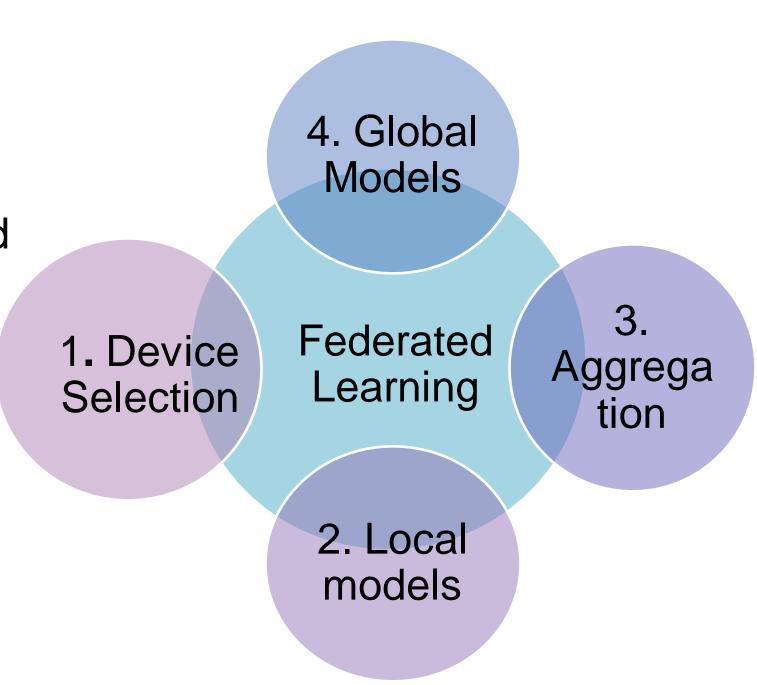
➤ Collaborative
➤ Privacy-preserved

➤ Privacy-preserved

Data division

> IID

➤ Non-IID



## Challenges

- > Statistical Heterogeneity
- System Heterogeneity (stragglers)
- Expensive Communications
- Privacy

## Research Questions?

- How do we select features from the dataset in a federated environment?
- ➤ How to reduce the effect of stragglers to speed up federated learning?
- How to mitigate the effect of statistical heterogeneity and reduce the communication cost by **personalizing** the model?
- How to learn federated learning models from small data?

## Application area

- Health care
- Edge cloud
- Industrial-IoT
- Recommender system
- Anomaly detection

## **Current Work**

Optimized and Adaptive Federated Learning for Straggler-Resilient Device Selection

## References

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## Contributions

- Fed-MOODS, a straggler resilient Multi-ObjectiveOptimization based adaptive prioritized Device Selection approach.
- Fed-MOODS adaptively involve devices in Federated learning.

### Fed-MOODS

#### Phase 1 (Device rank)

- 1. The server collects meta-data and computes the objectives for each device.
- 2.Maximize these objective functions using Multi-objective optimization.
- 3.Rank each device based on the Pareto fronts.

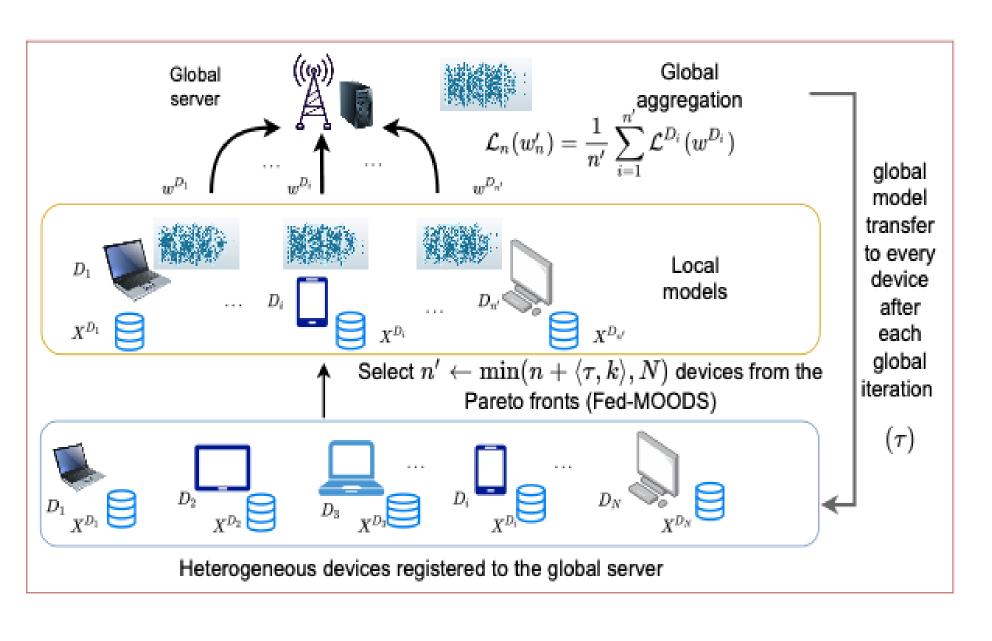
## Objectives

- The availability of the processing capacity of each device,
- The availability of memory in devices, and
- The bandwidth capacity of the participating devices.

#### Phase 2

- 1. Select n' devices from the Pareto front.
- 2.Learn the global model collaboratively.
- 3.Add another set of devices from the Pareto fronts and learn the global model.
- 4. Continue steps 2 and 3 until the model converges.

### Framework



TOTAL AND AVERAGE WALL CLOCK TIME COMPARISON BETWEEN FED-MOODS AND BENCHMARK MODEL WITH RANDOM DEVICE SELECTION AT PRESENCE OF 90% STRAGGLERS ON NON-IID DATA.

	Datasets Random Device selection			Fed-MOODS		
		$T_{Random}(ms)$	$\bar{T}_{Random}(ms)$	$T_{Fed-MOODS}(ms)$	$\bar{T}_{Fed-MOODS}(ms)$	
I I	MNIST	$9 \times 10^{5}$	$9 \times 10^{3}$	$4.9  imes 10^5$	$4.9  imes 10^3$	
F	MNIST	$8.9 \times 10^{5}$	$8.9 \times 10^{3}$	$6 imes 10^5$	$6  imes 10^3$	

# Results Test accuracy Fed-MOODS Fed-MOODS Random Random

Dataset		SF %	Fed-MOODS	Fed-MOODS	Random	Random
			FedAvg	FedProx	FedAvg	FedProx
MNIST	IID	90	97.2	96.31	97.2	96.89
		70	97.54	97.49	97.61	97.5
		50	97.94	97.76	97.74	97.61
		10	98.11	98.39	98.05	98.11
	Non-IID	90	92.31	91.93	92.04	. 91.47
		70	93.91	92.79	89.18	93.43
		50	94.69	93.47	93.05	89.61
		10	95.74	93.59	93.17	93.86
CIFAR-10	IID	90	53.43	50.20	49.15	48.86
		70	46.3	47.15	48.62	47.17
		50	43.59	49.42	46.25	48.9
		10	46.71	47.33	45.48	44.72
	Non-IID	90	49.23	49.55	15.84	10
		70	48.75	47.68	33.99	29.75
		50	46.56	45.93	24.98	38.44
		10	45.86	47.81	33.75	34.0
FMNIST	IID	90	78.66	78.48	80.48	79.44
		70	82.63	82.81	83.04	77.63
		50	83.32	83.89	85.17	82.59
		10	85.39	85.22	84.44	84.68
	Non-IID	90	63.22	65.33	50.26	58.18
		70	67.16	65.54	56.92	64.07
		50	70.0	70.97	55.56	61.81
		10	71.76	67.58	58.18	59.26

## Wall-clock time comparison

## Analysis

- 1. Fed-MOODS produces better performance random.
- 2. Convergence of Fed-MOODS is quicker than random device selection.
- 3. Fed-MOODS is 1.8× and 1.48× faster than the benchmark model (FedAvg) with random device participation on the MNIST and FMNIST non-IID datasets, respectively.

## Conclusion

- In this project, we are dealing with the challenges of the system and statistical heterogeneity in federated learning.
- We raised 4 research questions that need to be solved to mitigate these challenges.
- > Though we are proposing algorithms, we have identified five application areas where the proposed solutions can be applied and assessed.
- ➤ In future, we are focusing on personalization to mitigate the effect of heterogeneity and reduce the communication rounds.

